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PTO/SB/05 (08-00)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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### UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. B98-039-4

First Named Inventor or Application Identifier Portnoy et al.

Title Intracellular Delivery Vehicles

Express Mail Label No. EV324953061US

EV324953061US

ADDRESS TO: Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

17858 U.S. PTO  
10/627452



#### APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. X \*Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. X Applicant claims small entity status (see 37 CFR 1.27)
3. X Specification (Total Pages 32)  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claims
  - Abstract of the Disclosure
4. X Drawings(s) (35 USC 113) (Total Sheets 2)
5. X Oath or Declaration (Total Pages 1)
  - a.      Newly Executed (Original or Copy)
  - b. X Copy from a Prior Application (37 CFR 1.63(d))  
(for Continuation/Divisional with Box 17 completed)
  - i.      DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6.      Application Data Sheet. See 37 CFR 1.76
7.      CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission

(if applicable, all necessary)

- a. ☐ Computer Readable Form (CRF)  
b. ☒ Specification Sequence Listing on: i. ☐ CD-ROM or CD-R (2 copies); or ii. ☒ paper  
c. ☒ Statement verifying identity of above copies  
d. ☒ Request to use CRF from another application

**ACCOMPANYING APPLICATION PARTS**

9. ☒ Assignment Papers (cover sheet & documents(s))  
10. ☐ 37 CFR 3.73(b) Statement (where there is an assignee)  
☒ Power of Attorney  
11. ☐ English Translation Document (if applicable)  
12. ☒ a. Information Disclosure Statement (IDS)/PTO-1449  
☐ b. Copies of IDS Citations  
13. ☐ Preliminary Amendment  
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)  
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)  
16. ☒ Other: Transmittal Letter (1p)

17. Priority

This application claims priority to prior application No: 09/949,109, filed September 7, 2001, having the same title and inventors \_\_\_\_\_

Prior application information: Examiner Lacourciere, K. Group Art Unit 1635

The entire disclosure of the prior application, from which an oath or declaration is supplied under 5b, is considered a part of the disclosure of the accompanying application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. Correspondence Address

- ☒ Customer Number or Bar Code Label 23379  
(Insert Customer No. or Attach Bar Code Label here)  
or  
☒ Correspondence Address Below

NAME Richard Aron Osman

SCIENCE & TECHNOLOGY LAW GROUP

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Name: Richard Aron Osman Registration No: 36,627

Signature:  Date: July 25, 2003

16085 U.S. PTO  
07/25/03

# FEE TRANSMITTAL EFFECTIVE JANUARY 1, 2003

Fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$) \$415.00

## Complete if Known:

Application No. Not yet assigned  
Filing Date Herewith  
First Named Inventor Portnoy et al.  
Group Art Unit Not yet assigned  
Examiner Name Not yet assigned  
Attorney Docket No. B98-039-4

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees to:
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 and credit any over payments to:
- ☒ Applicant claims small entity status. See 37 CFR 1.27
- Deposit Account Number 19-0750  
Deposit Account Name Science & Technology Law Group
2. ☒ Payment Enclosed  
☒ Check  
☐ Money Order  
☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Code	Fee (\$)	Code	Fee (\$)		
101	750	201	375	Utility application filing fee	<u>375.</u>
106	330	206	165	Design application filing fee	<u>          </u>
107	520	207	260	Plant filing fee	<u>          </u>
108	750	208	375	Reissue filing fee	<u>          </u>
114	160	214	80	Provisional application filing fee	<u>          </u>
SUBTOTAL (1)					<u>\$ 375.00</u>

### 2. CLAIMS

			Extra		Fee from below		Fee Paid
Total Claims	<u>12</u>	- 20 =	<u>0</u>	X	<u>          </u>	=	<u>0</u>
Independent Claims	<u>3</u>	- 3 =	<u>0</u>	X	<u>          </u>	=	<u>0</u>
Multiple Dependent Claims			<u>0</u>	X	<u>          </u>	=	<u>0</u>

Large Entity		Small Entity		Fee Description	Fee Paid
Code	Fee (\$)	Code	Fee (\$)		
103	18	203	9	Claims in excess of twenty	<u>0</u>
102	84	202	42	Independent claims in excess of 3	<u>0</u>
104	280	204	140	Multiple dependent claim	<u>0</u>
109	84	209	42	Reissue independent claims over original patent	<u>0</u>
110	18	210	9	Reissue claims in excess of 20 and over original patent	<u>0</u>

SUBTOTAL (2) \$0

# **FEE CALCULATION (continued)**

## **3. ADDITIONAL FEES**


<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
<u>Fee Code</u>	<u>Fee (\$)</u>	<u>Fee Code</u>	<u>Fee (\$)</u>		
105	130	205	65	Surcharge - lat filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for response within first month	
116	410	216	205	Extension for response within second month	
117	930	217	465	Extension for response within third month	
118	1,450	218	725	Extension for response within fourth month	
128	1,970	228	985	Extension for response within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive unavoidably abandoned application	
141	1,300	241	650	Petition to revive unintentionally abandoned application	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	750	246	375	For filing a submission after final rejection (see 37 CFR 1.129(a))	
149	750	249	375	For each additional invention to be examined (see 37 CFR 1.129(a))	
Other fee (specify) _____					
Other fee (specify) _____					

**SUBTOTAL (3) \$ 40.00**

\*Reduced by Basic Filing Fee Paid

## **SUBMITTED BY:**

Typed or Printed Name: Richard Aron Osman

Signature  Date July 25, 2003

Reg. Number 36,627 Deposit Account User ID \_\_\_\_\_ (complete if applicable)